

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF DELAWARE**

<b>DIANE SMITH, individually, and as</b>	)	
<b>guardian for A.K., S.K., and M.K.,</b>	)	
	)	
<b>Plaintiffs,</b>	)	
	)	
<b>v.</b>	)	<b>C.A. No. 07-600 JJF</b>
	)	
<b>STATE OF DELAWARE,</b>	)	
<b>DEPARTMENT OF FAMILIES,</b>	)	
<b>DIVISION OF FAMILY SERVICES;</b>	)	
<b>KATHLEEN FINN;</b>	)	
<b>HOME HEALTH SPECIALISTS;</b>	)	
<b>DORIS ELLIOTT; and</b>	)	
<b>LINDA BRASBURGER,</b>	)	
	)	
<b>Defendants.</b>	)	

**ANSWER OF DEFENDANT KATHLEEN FINN**

The defendant Kathleen Finn responds as follows to the allegations set forth in the Complaint filed on behalf of the plaintiffs:

1. Admitted, by information and belief.
2. Admitted, by information and belief.
3. Admitted, by information and belief.
4. Admitted, by information and belief.
5. Denied as alleged. Admitted only that the Division of Family Services (hereinafter "DFS") is an agency of the State of Delaware within the Department of Services for Children, Youth & their Families (hereinafter "Dept. of Families") and is subject to service of process.

6. Denied as alleged. Admitted only that Finn was employed by DFS at the time of the matters alleged in the Complaint, up until August of 2007. Finn is no longer employed by DFS at this time, and is not subject to service of process through DFS.

7. Defendant is without sufficient information or knowledge to respond.

8. Defendant is without sufficient information or knowledge to respond.

9. Defendant is without sufficient information or knowledge to respond.

10. Denied. The Complaint fails to state a federal claim. The matters alleged are within the exclusive jurisdiction of the Family Court of the State of Delaware.

11. Admitted, subject to the objection as to federal jurisdiction set forth in paragraph 10 above.

12. Admitted, by information and belief, that the adult plaintiff is a nurse. The defendant Finn is without sufficient information to respond to the balance of the averment.

13. The first sentence is admitted. The defendant Finn is without sufficient information to respond to the balance of the averment.

14. The defendant Finn is without sufficient information to respond to the averment.

15. Admitted only that the nurses provided home health care for the children. The defendant Finn is without sufficient information to respond to the balance of the averment.

16. Denied as alleged. Admitted only that, on the date in question, the adult plaintiff was away from home and the children for a number of hours, visiting a paramour.

17. Denied as alleged. The adult plaintiff failed to make proper arrangements for the medical care of the children. The children were left in the care of a juvenile with a history of delinquency and psychiatric issues, and an adult with a criminal record and a history of substance abuse. The adult plaintiff failed to make arrangements for the nurses to be present. The balance of the averment is denied.

18. Denied as alleged. Admitted only that the adult plaintiff failed to make arrangements for the care of the minor children by medical professionals capable of rendering emergency care. The defendant Finn is without knowledge as to the co-defendants' actions with regard to allowing the minor children to be supervised by non-medical caregivers on other occasions. By information and belief, the adult plaintiff left the children in the care of unqualified non-medical persons prior to the intervention of DFS.

19. Denied as alleged. Admitted only that, on the date set forth, DFS received a complaint that the minor children had been left in the home by the adult plaintiff without appropriate medical care, and were at immediate risk. Upon further investigation, DFS received evidence of other instances of neglect and of abuse of the children by the adult plaintiff. The defendant Finn is without sufficient information or knowledge to respond to the allegations directed at the co-defendants.

20. Admitted. By way of further answer, the children remained at the Hospital on a "social admission" until adequate arrangements could be made for their medical care and supervision.

21. Denied. By way of further response, the adult plaintiff first indicated to DFS, at approximately 3:00 p.m. on November 4, 2005, that she would not be returning

home until the following day. In a subsequent conversation, on the same day, the adult plaintiff agreed to return home at the request of DFS.

22. Denied as alleged. Admitted only that DFS intervened amid evidence of dependency, neglect, and abuse and removed the minor children from the care of unsuitable persons, pending further investigation. It was determined by Finn and DFS, and subsequently confirmed by a Family Court Judge, that temporary placement of the minor children was justified, pending further investigation of dependency, neglect, and abuse by the adult plaintiff. The defendant Finn is without knowledge or information regarding allegations directed at the co-defendants.

23. Denied as alleged. See the answer to paragraph 22 above, which is restated and incorporated herein by reference. The emergency petition filed with (and granted by) the Family Court speaks for itself, and is incorporated herein by reference. The facts in the Petition were those determined by Finn and DFS upon observation and investigation at the home (in the absence of the adult plaintiff), through interviews with the children, a criminal record check of the caregivers, investigation of collateral sources, and upon information from the co-defendants.

24. Denied as alleged. Admitted only that DFS was contacted concerning the welfare of the children during a time period when the adult plaintiff was absent from the home, and failed to return, and the children were left in the custody of unqualified caregivers. The defendant Finn is without sufficient knowledge or information to respond to the allegations concerning the co-defendants' knowledge of the adult plaintiffs' arrangements (or lack thereof) as to medical care for the children.

25. Denied as alleged. The children were left by the adult plaintiff with a juvenile half-brother and an uncle, neither of whom were suitable caregivers. The former father-in-law of the adult plaintiff was not present at the residence with the children when the DFS social workers arrived. He arrived later and asked to speak to the DFS workers. He had limited medical training, but had experienced problems caring for A.K. Finn is without knowledge as to any other arrangements the adult plaintiff now claims to have made for the care of the children during her absence.

26. Denied as alleged. A Preliminary Protective Hearing was held in the Family Court on November 16, 2005, with counsel for the parents and counsel for the children present. The findings of the Court are as set forth in the Order of Judge Chapman, which is incorporated herein by reference. The parents stipulated to probable cause, and the Court found that the children continued to be dependent. The children were allowed to remain in the residence of the adult plaintiff subject to a safety plan developed by DFS and approved by the Court, which called for a responsible adult to be present at all times to monitor the adult plaintiff's interaction with the children. Custody of the children was granted to DFS pending further order of the Court.

27. Admitted only that the Motion to Rescind Custody was filed, and that it included the quoted language. The Motion, which is incorporated herein by reference, speaks for itself. The balance of the averment is denied.

28. Admitted that the alleged statement is included within the text of the Motion. By way of further answer, the Motion is incorporated herein by reference thereto.

29. Denied as alleged. On or about December 22, 2005, Judge Chapman allowed the children to remain in the residence of the adult plaintiff under the conditions previously stipulated to by the parties, pending full consideration of the State's Motion to Rescind Custody. The children had previously been returned to the home pursuant to the safety plan developed by DFS on November 7, 2005 and the Court's Preliminary Protective Hearing Order of November 16, 2005. The Court Order, which speaks for itself, is incorporated herein by reference.

30. Denied as alleged. On November 23, 2005, DFS filed and served on the adult plaintiff a Notice of Intent to Substantiate for Abuse or Neglect and Enter on the Child Protection Registry. On December 14, 2005, through counsel, the adult plaintiff requested a hearing. Ms. Finn thereafter prepared an affidavit dated January 2, 2006, for filing by DFS pursuant to a request that the adult plaintiff be placed on the Child Protection Registry pending a final order on the Petition for Substantiation. The defendant Finn is without information or knowledge as to the disposition of the Petition, in that she was not involved in such proceedings.

31. Denied as alleged. On or about January 27, 2006, Judge Chapman granted the State's Motion to Rescind Custody and adopted the findings set forth therein. The children had previously been returned to the home pursuant to the safety plan developed by DFS on November 7, 2005 and the Court's Preliminary Protective Hearing Order of November 16, 2005. The Court Order, which speaks for itself, is incorporated herein by reference.

32. Admitted. By way of further answer, the adult plaintiff was investigated by the New Castle County Police.

33. Denied as alleged. The Court's Order with respect to custody did not address the issue of the Child Abuse Registry. See the response to paragraph 30 above, which is restated and incorporated herein by reference. The Court's action was reflected in the DFS file.

34. The defendant Finn is without sufficient information or knowledge to respond to these allegations.

35. Denied as alleged. The newspaper article speaks for itself. To the extent, if any, that the plaintiffs rely on the newspaper article as a factual allegation, or seek to incorporate it in their Complaint, it is disputed in its entirety by the defendant Finn. The defendant Finn is without knowledge or information as to the motivation for the article.

36. The defendant Finn is without sufficient information or knowledge to respond to the averment.

37. The defendant Finn is without sufficient information or knowledge to respond to the averment. To the extent that the averment alleges or implies that Finn disclosed information regarding the adult plaintiff to Hockessin Hills, that allegation is denied.

38. The defendant Finn is without sufficient information or knowledge to respond to the averment. To the extent that the averment alleges or implies that Finn disclosed information regarding the adult plaintiff to an employer, that allegation is denied.

39. The defendant Finn is without sufficient information or knowledge to respond to the averment.

40. Liability is denied. Admitted only that Ms. Finn was employed by DFS during the time period in question.

41. The defendant Finn is without sufficient information or knowledge to respond to the averment.

42. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 41 above.

43-51. To the extent this allegation is directed at the defendant Finn, it is denied as alleged. Admitted only that DFS, under the authority of the Family Court of the State of Delaware, assumed temporary custody of the minor children for their own protection, upon credible evidence of dependency, neglect, and abuse. The children received proper medical attention, and were placed in temporary foster care, consistent with the authority conferred by Family Court. The balance of the averment is denied. All allegations of “false imprisonment” are denied.

52. Denied that the adult plaintiff sustained any damages. To the extent, if any, that the minor plaintiffs were damaged, any such damages are attributable to the conduct of the adult plaintiff.

53. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 52 above.

54-57. These allegations are directed solely at the co-defendants, and therefore no response from the defendant Finn is necessary.

58. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 57 above.



59-65. These allegations are directed solely at the co-defendants, and therefore no response from the defendant Finn is necessary.

66. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 65 above.

67-72. These allegations are directed solely at the co-defendants, and therefore no response from the defendant Finn is necessary.

73. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 72 above.

74. Denied as alleged. Finn and other DFS workers investigated upon finding the adult plaintiff absent and the minor children in the care of incompetent caregivers and medically at risk. DFS initiated proceedings upon a record of observations and investigation, and was awarded emergency custody on November 4, 2005 by the Family Court of the State of Delaware. At a subsequent proceeding in the Family Court on November 16, 2007, the adult plaintiff stipulated to the dependency of the children, and DFS custody continued with the acquiescence of the adult plaintiff. The balance of the averment is denied.

75. Denied.

76. Denied as alleged. The proceedings resulted in an emergency order regarding the custody of the minor children, based upon evidence of both neglect and abuse of the minor children.

77. Denied as alleged. The proceedings resulted in an emergency order regarding the custody of the minor children, based upon evidence of both dependency and neglect of the minor children. The *ex parte* determination was confirmed by the

Court in a subsequent adversary proceeding, with the adult plaintiff stipulating to the dependency of the children and not opposing continued DFS custody subject to a safety plan for the care of the children. Thereafter, upon further investigation and review, and with appropriate safeguards in place to prevent a recurrence of neglect, and after several months of intervention, custody was returned to the parents. The issue of custody was resolved by the Family Court based on the best interests of the minor children.

78. Denied.

79. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 78 above.

80. Denied as alleged. Finn and other DFS workers investigated upon finding the adult plaintiff absent and the minor children in the care of incompetent caregivers and medically at risk. DFS initiated proceedings upon a record of observations and investigation, and was awarded emergency custody on November 4, 2005 by the Family Court of the State of Delaware. At a subsequent proceeding in the Family Court on November 16, 2007, the adult plaintiff stipulated to the dependency of the children, and DFS custody continued with the acquiescence of the adult plaintiff. The balance of the averment is denied.

81. Denied.

82. Denied as alleged. The proceedings resulted in an emergency order regarding the custody of the minor children, based upon evidence of both neglect and abuse of the minor children.

83. Denied as alleged. The proceedings resulted in an emergency order regarding the custody of the minor children, based upon evidence of both dependency

and neglect of the minor children. The *ex parte* determination was confirmed by the Court in a subsequent adversary proceeding, with the adult plaintiff stipulating to the dependency of the children and not opposing continued DFS custody subject to a safety plan for the care of the children. Thereafter, upon further investigation and review, and with appropriate safeguards in place to prevent a recurrence of neglect, and after several months of intervention, custody was returned to the parents. The issue of custody was resolved by the Family Court based on the best interests of the minor children.

84. Denied.

85. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 84 above.

86. To the extent, if any, that this allegation is directed at the defendant Finn, it is denied.

87. Denied as alleged. See the response to paragraph 83 above, which is incorporated herein by reference. The proceedings were initiated by DFS after investigation and review, on behalf of the State of Delaware.

88. Denied.

89. Denied.

90. Denied. Proceedings in The Family Court of the State of Delaware against the adult plaintiff and in the best interests of the children were initiated by DFS based on the investigation of Finn and other workers acting on behalf of DFS and the on evidence of dependency, neglect, and abuse.

91. To the extent, if any, that this allegation is directed at the defendant Finn, it is denied.

92. Denied as alleged. Proceedings in The Family Court of the State of Delaware against the adult plaintiff and in the best interests of the children were initiated by DFS based on the investigation of Ms. Finn and other workers acting on behalf of DFS and the evidence of dependency, neglect, and abuse.

93. Denied that the adult plaintiff sustained any damages. To the extent, if any, that the minor plaintiffs were damaged, any such damages are attributable to the conduct of the adult plaintiff.

94. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 93 above.

95. Denied as alleged. On November 23, 2005, DFS filed and served on the adult plaintiff a Notice of Intent to Substantiate for Abuse or Neglect and Enter on the Child Protective Registry. On December 14, 2005, through counsel, the adult plaintiff requested a hearing. Ms. Finn thereafter prepared an affidavit dated January 2, 2006, for filing by DFS pursuant to a request that the adult plaintiff be placed on the Child Protection Registry pending a final order on the Petition for Substantiation. The defendant Finn is without information or knowledge as to the disposition of the Petition, in that she was not involved in such proceedings. The balance of the allegations are denied.

96. Denied.

97. Denied.

98. Denied.

99. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 98 above.

100. Denied. The response to paragraph 95 above is restated, and incorporated herein by reference.

101. Denied.

102. Denied. The response to paragraph 95 above is restated, and incorporated herein by reference.

103. Denied.

104. Denied.

105. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 104 above.

106-107. Denied as alleged. Finn and other DFS workers investigated upon finding the adult plaintiff absent and the minor children in the care of incompetent caregivers and medically at risk. The nurses cooperated with this investigation. DFS initiated proceedings upon a record of observations and investigation, and was awarded emergency custody on November 4, 2005 by the Family Court of the State of Delaware. At a subsequent proceeding in the Family Court on November 16, 2007, the adult plaintiff stipulated to the dependency of the children, and DFS custody continued with the acquiescence of the adult plaintiff. The balance of the averment is denied.

108. Denied that the adult plaintiff sustained any damages. To the extent, if any, that the minor plaintiffs were damaged, any such damages are attributable to the conduct of the adult plaintiff.

109. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 108 above.

110. Denied.

111. Denied that the adult plaintiff sustained any damages. To the extent, if any, that the minor plaintiffs were damaged, any such damages are attributable to the conduct of the adult plaintiff.

112. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 111 above.

113. Denied as alleged. On November 23, 2005, DFS filed and served on the adult plaintiff a Notice of Intent to Substantiate for Abuse or Neglect and Enter on the Child Protective Registry. On December 14, 2005, through counsel, the adult plaintiff requested a hearing. Ms. Finn thereafter prepared an affidavit dated January 2, 2006, for filing by DFS pursuant to a request that the adult plaintiff be placed on the Child Protection Registry pending a final order on the Petition for Substantiation. The defendant Finn is without information or knowledge as to the disposition of the Petition, in that she was not involved in such proceedings. The balance of the allegations are denied.

114. Denied. See the response to paragraph 113 above, which is restated and incorporated herein by reference.

115. Denied. See the response to paragraph 113 above, which is restated and incorporated herein by reference.

116. Denied. See the response to paragraph 113 above, which is restated and incorporated herein by reference.

117-118. Denied.

119. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 118 above.

120. Denied as alleged. The averment calls for a legal conclusion regarding the standard for hiring applicable to public and private employers, and the potential for liability arising from such a relationship, and thus is not subject to a response as a factual allegation.

121. This allegation is directed at a co-defendant, and thus no response is required from the defendant Finn.

122. The existence of such a duty is denied. The breach of any such duty is denied. The allegation of “bad faith conduct” is denied.

123. This allegation is directed at a co-defendant, and thus no response is required from the defendant Finn.

124. The allegation of “bad faith conduct” is denied. The allegation as to proximate cause is denied.

125. Denied that the adult plaintiff sustained any damages. To the extent, if any, that the minor plaintiffs were damaged, any such damages are attributable to the conduct of the adult plaintiff.

126. The defendant Finn restates and incorporates herein by reference the responses set forth in paragraphs 1 through 125 above.

127-133. These allegations are directed solely at the co-defendants, and therefore no response from the defendant Finn is necessary.

#### **AFFIRMATIVE DEFENSES**

134. The Compliant fails to state a claim upon which relief can be granted by this Court against Finn.

135. The Complaint fails to state a claim on behalf of the minor plaintiffs against Finn.

136. The Complaint fails to allege a proper basis for the exercise by this Court of federal jurisdiction over subject matter within the sole jurisdiction of the Family Court of the State of Delaware.

137. Service on Finn has not been perfected, due to insufficient process, and thus the Complaint as to Finn is subject to dismissal.

138. Service on Finn has not been perfected, due to insufficient service of process, and thus the Complaint as to Finn is subject to dismissal.

139. All claims against Finn are barred by the doctrine of absolute immunity of a social worker employed by a government agency such as DFS.

140. All claims against Finn are barred by the doctrine of qualified immunity of a social worker employed by a government agency such as DFS.

141. All claims against Finn are barred by the doctrine of accord and satisfaction, in that counsel for the adult plaintiff stipulated to the dependency of the children and did not contest DFS custody at the adversary hearing in November of 2005.

142. All claims against Finn are barred by the doctrine of waiver, in that counsel for the adult plaintiff stipulated to the dependency of the children and did not contest DFS custody at the adversary hearing in November of 2005, and the adult plaintiff is therefore estopped from asserting such claims.

143. The adult plaintiff is estopped from asserting all claims arising from the DFS investigation into the welfare of the children, in that she stipulated, through counsel,



to DFS custody and to a finding by the Family Court of the State of Delaware of dependency.

144. All claims asserted by the plaintiffs are barred by the doctrines of collateral estoppel and *res judicata*, in that they have been previously resolved by the Family Court of the State of Delaware in a manner adverse to the plaintiff's claims.

145. Truth is an absolute defense to the claims of defamation.

WHEREFORE, Kathleen Finn prays that the Complaint be dismissed, with prejudice, with all costs and fees payable by the adult plaintiff.

/s/ Ralph K. Durstein, III  
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James J. Maxwell (ID#2892)  
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DATED: November 14, 2007

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF DELAWARE**

**DIANE SMITH, individually, and as  
guardian for A.K., S.K., and M.K.,**

**Plaintiffs,**

**v.**

**C.A. No. 07-600 JJF**

**STATE OF DELAWARE,  
DEPARTMENT OF FAMILIES,  
DIVISION OF FAMILY SERVICES;  
KATHLEEN FINN;  
HOME HEALTH SPECIALISTS;  
DORIS ELLIOTT; and  
LINDA BRASBURGER,**

**Defendants.**

**CERTIFICATE OF MAILING AND/OR DELIVERY**

The undersigned certifies that on November 14, 2007, he caused the attached Answer of Defendant Finn to be electronically filed with the Clerk of Court using CM/ECF which will send notification of such filing to the following:

Richard Galperin, Esq.  
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/s/ Ralph K. Durstein III  
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